

Veteran Litigator Joel Forman Joins Akerman in New York

Joel Forman has joined Akerman LLP's national Litigation Practice Group as a partner in New York.

In a release, the firm said Forman brings decades of experience resolving securities civil litigation and government enforcement cases, having represented broker-dealers, investment advisers, investment banks, mutual funds, hedge funds, boards of directors, and industry executives involved in allegations of corporate and individual misconduct.

The firm said Forman defends financial services companies and associated persons in federal and state court trials and appeals, arbitrations, and mediations. His cases involve sales practices and supervision, insider trading, money laundering, prime brokerage, trade execution and clearing, research, privacy and cybersecurity, public and private funds, variable annuities, securities lending, and sales charges, fees, and commissions.

In enforcement matters, Forman represents clients before the U.S. Securities and Exchange Commission (SEC), Financial Industry Regulatory Authority (FINRA), Commodity Futures Trading Commission, Financial Conduct Authority (UK) and state securities regulators. He also represents companies in SEC broker-dealer and investment adviser registrations, disclosure documents including investment adviser brochures, as well as filing new and continuing membership applications with FINRA. Before entering private practice, Forman served as a staff attorney for the New York Regional Office of the SEC in the Division of Enforcement.

