

# U.S. Charges in Generic-Drug Probe to Be Filed by Year-End

## *News*

The antitrust investigation by the Justice Department spans more than a dozen companies and about two dozen drugs, reports Bloomberg.

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# China – Compliance Risks and Solutions

## *Insight*

Vendor kickback schemes are endemic throughout China and only increasing. Theft of intellectual property remains a major issue for global businesses in China. Theft, embezzlement and fraud continue on as if no one is watching, writes Jeffrey Klink, a former U.S. Department of Justice prosecutor and CEO of Klink & Co.

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# Compliance and Ethics Program Comparison: Survey Results

## *Insight*

The Society of Corporate Compliance and Ethics and the Health Care Compliance Association recently conducted a survey to

gauge the effectiveness of compliance programs at preventing incidents from occurring.

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## **Complimentary Ethics & Compliance Virtual Conference**

***Event, Nov. 15, 8:30 a.m.-2:30 p.m. PST***

Navex Global will present the sixth annual Ethics & Compliance Virtual Conference on Nov. 15 – a free, one-day, online event.

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## **Compliance Metrics and Dashboards: Building Your Case**

***Article***

A company will want to be able to demonstrate that it is creditworthy under the U.S. Sentencing Guidelines to benefit from penalty reductions, and more importantly to avoid indictment altogether.

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# **Corruption Risks and Corporate Social Responsibility Spending**

## ***Article***

In an attempt to promote the goodwill of the company in emerging markets, companies sometimes spend large amounts of money, only to find out later that foreign leaders have lined their pockets with the funds to the detriment of the locally intended beneficiaries.

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# **Legal Symposium: Issues Facing U.S. Subsidiaries of Japanese Multinationals**

## ***Event, June 9, 8 a.m.-1:30 p.m.***

Masuda Funai will host a legal symposium titled “Issues Facing U.S. Subsidiaries of Japanese Multinationals” on Thursday, June 9, 2016, in Glenview, Ill., at the Glen Club, 2901 W. Lake Avenue.

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# **Download: How to Ensure**

# Organizational Resiliency

## *Article*

A new article from NACD explores what directors think boards can do to ensure a company's survival – despite high rates of business failure.

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## Director Compensation Report: 2015-16 Executive Highlights

### *Annual Report*

the report provides a comprehensive overview of non-employee-director pay practices across a wide range of industries and company sizes. The report also includes six leading practices for director compensation from the Report of the NACD Blue Ribbon Commission on Director Compensation.

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## The Auditor (And Compliance Professional) As Behavioral Scientist

### *Article*

As the field of compliance management continues to mature, reliable means to evaluate compliance program effectiveness will increasingly become imperative writes Jose Tabuena.

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# Is Outsourcing IT Worth the Compliance Risk?

## *Article*

As regulators examine vendor relationships and outsourcing arrangements more closely, there is a significant risk that poorly managed IT could trigger an audit finding, a fine or negative publicity.

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# The Latest Headlines and How to Keep From Becoming a Headline Yourself

## *On-Demand*

Portland, Oregon-based Compli offers an on-demand webinar designed to help companies avoid unethical conduct that can drag them into news headlines, costing the companies dearly by impacting both their reputation and their bottom line.

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**Zenefits CEO Parker Conrad**

# Resigns Amid Scandal

## **NEWS**

Zenefits cofounder Parker Conrad resigned as CEO and as a director of the company, according to a Forbes report, as questions are being raised about the steps Conrad took to put Zenefits into hypergrowth – including flouting laws about who is allowed to sell insurance.

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# Greater Emphasis on Corporate Compliance Programs

## **Article**

The Department of Justice is taking aim at corporations and investing resources into the evaluation of the effectiveness of corporate compliance programs.

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# Ethics and Compliance Predictions for 2016

## **Article**

There is one thing you can always count on with compliance professionals: unrelenting optimism and confidence, writes Michael Volkov of the Volkov Law Group.

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# Corporate Governance At UPS – Who Is Washing The Dirty Laundry In Public?

## *Article*

UPS portrays itself as having a fair, transparent, and shareholder friendly corporate governance practices. Not all shareholders would agree, though.

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# Top 2015 Compliance Stories: Data Challenges and Security Issues

## *Article*

The article covers such topics as data currency, compliance with the SEC Regulation SCI, mobile device management, end-to-end encryption and more.

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# The Dangers of Quick Fix

# Solutions – Certifications and Compliance Defenses

## *Article*

Some corporate lobbyists are revealing their own lack of understanding of the compliance issue and looking for easy solutions to more difficult problems, writes Michael Volkov.

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## 10 Ways to Transform Your Legal Department – Mitratech White Paper

### *White Paper*

Mitratech is offering a free white paper that it calls a blueprint for becoming the best-run business unit in the organization.

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## How to Calculate the Cost of a Data Breach

### *EVENT, Dec. 3, 11 a.m. CST*

Industry experts will discuss how to assess specific security risks and build a strong business case for enhanced security.