Compliance Is A Crucial Part Of Digital Transformation—Here's How To Achieve it

ComplianceThe term "digital transformation" often gets thrown around in our ever-changing business environment. It's a catch-all term that describes new and emerging digital technologies…

Whistleblower: USAA 'actively lying to regulators for years' regarding violations of law

ComplianceWalgreens has agreed to pay the state of Florida $683 million to settle a lawsuit claiming the drugstore chain helped fuel the state's opioid crisis, the company announced last week. The

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Navy Wants to Move From Cyber Compliance to Continuous Cyber ‘Readiness’

ComplianceIn an effort to improve its cybersecurity posture, the Navy is moving away from the old compliance model to an ongoing readiness approach much in the way the service evaluates…

How to Advocate for Your Security, Compliance and Risk Management Program

ComplianceTo be an effective security, risk management or compliance leader, you need to advocate for your team’s needs and secure resources to improve cyber defense posture, mitigate…

Supply Chain Compliance Is About To Get A Whole Lot

Tougher

ComplianceIn January 2023, the Germany Supply Chain Due Diligence Act will come into effect, requiring businesses to monitor supply chains for human rights violations and compliance with environmental standards…

WHY ONLINE COMPLIANCE TRAINING IS IMPORTANT

ComplianceAlmost all companies have policies and regulatory requirements that their employees have to adhere to. Going against these requirements can attract fines, lawsuits, reputational damage…

Finra fines and suspends Interactive Brokers' ex-compliance chief over AML failures

ComplianceInteractive Brokers' former chief compliance officer has been banned from the industry for two months by the Financial Industry Regulatory Authority (Finra) and handed a $25,000…

Comprehensive ARM Industry Report Highlights Compliance and Remote Work Trends

ComplianceKaulkin Ginsberg Company has released the 10th version of its report on the accounts receivable management (ARM) industry. The Kaulkin Report, 2022 Edition combines the latest…

The Hidden Costs Of M&A: Why Compliance Needs A Seat At The Table

ComplianceLast year global mergers and acquitions (M&A) volume set a record at $5.9 trillion—a 28% increase from the previous full-year record in 2007. With M&A activity showing no sign

Policy and Medicine

Compliance Update February 2022 Issue Focuses on Emerging Trends in Compliance Plus 340B and FTC Litigation

CompliancePolicy and Medicine Compliance Update is our monthly compliance publication designed to help compliance professionals go in depth in issues and stay up to date on the latest trends

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How to Avoid the Most Common Compliance Concerns

ComplianceAdvisor Perspectives welcomes guest contributions. The views presented here do not necessarily represent those of Advisor Perspectives. Many advisors suffer from the misconception that as long

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The Long Game: Ensuring Security Compliance Success

In Mergers And Acquisitions

ComplianceDespite a backdrop of Covid-19 and gloomy worldwide economic predictions, the M&A scene has been smashing records across sectors like tech and infrastructure

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Updated CDC and OSHA Guidance for Fully Vaccinated Individuals

NewsTwo weeks after their second dose in a two-dose series (such as the Pfizer or Moderna vaccines), or two weeks after a single-dose vaccine, such as Johnson & Johnson’s Janssen vaccine.

The “Legal Epidemiology” of Pandemic Control

NewsThe centrality of law as a public health intervention has been undeniable during the Covid-19 pandemic.

Armonk Attorney DeLitta Disbarred for Stealing at Least $1.5M from Clients

NewsArmonk lawyer Laurieanne DeLitta has been disbarred and ordered to pay nearly $1.5 million, and possibly another $2.2 million, for stealing money from clients.

How Cybersecurity Fits into Your Compliance and Ethics Program

NewsCybersecurity wasn’t necessarily a significant issue for in-house counsel 10-15 years ago.

Massachusetts Supreme Court Calls Foul on Departing Attorneys

NewsLateral attorney transitions, particularly partner transitions, are often fraught with legal and ethical issues.

Suit Alleges Biglaw Firm Used Lexisnexis Product to Inflate Bills for Flat-Fee Legal Research

NewsA corporate litigant disputing the legal bill charged by Squire Patton Boggs has filed a third-party complaint alleging that LexisNexis helped the law firm inflate its fees.

BigLaw Partner Added ‘Fictional Hours’ to Client Bills, Meriting a 2-Year Suspension

NewsMassachusetts’ top court ruled Thursday that a Duane Morris litigation partner got off too easy when she was suspended for six months for overbilling clients at her prior law firm, saying her actions reflected manifest “dishonesty” and deserved a two-year suspension instead.

Compliance with Laws Representations

InsightsIn M&A transactions, the definitive purchase agreement (whether asset purchase agreement, stock purchase agreement, or merger agreement) typically contains representations, warranties, and covenants, along with related indemnification obligations.