

It's All Fun and Games Until Someone Sues for Breach of Contract

Insight

A recent federal court case analyzed the ability of a lender to act upon stock pledged to secure a loan, and provides insight into valuable language to be included in the loan documentation, explains Loeb & Loeb LLP.

Liability of Compliance Officers at Financial Institutions

Event, May 3, 1 p.m. EDT

Practical Law and Norton Rose will present a webinar titled "Liability of Compliance Officers at Financial Institutions" on Wednesday, May 3, 2017, beginning at 1 p.m. EDT.

U.S. Justice Department Targets Executives in Wells

Fargo Probe

News

Reuters is reporting that a U.S. Justice Department probe into a phony accounts scandal at Wells Fargo & Co. is asking whether executives hid details from the company board and regulators as the problem grew over years.

Trump to Issue Directives Targeting Dodd-Frank, Retirement Advice Rule

News

This is the opening salvo in Trump's campaign to scale back regulations that resulted from the financial crisis, Reuters is reporting.