

# **It's All Fun and Games Until Someone Sues for Breach of Contract**

## ***Insight***

A recent federal court case analyzed the ability of a lender to act upon stock pledged to secure a loan, and provides insight into valuable language to be included in the loan documentation, explains Loeb & Loeb LLP.

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# **Liability of Compliance Officers at Financial Institutions**

## ***Event, May 3, 1 p.m. EDT***

Practical Law and Norton Rose will present a webinar titled "Liability of Compliance Officers at Financial Institutions" on Wednesday, May 3, 2017, beginning at 1 p.m. EDT.

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# **U.S. Justice Department Targets Executives in Wells**

# Fargo Probe

## *News*

Reuters is reporting that a U.S. Justice Department probe into a phony accounts scandal at Wells Fargo & Co. is asking whether executives hid details from the company board and regulators as the problem grew over years.

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# Trump to Issue Directives Targeting Dodd-Frank, Retirement Advice Rule

## *News*

This is the opening salvo in Trump's campaign to scale back regulations that resulted from the financial crisis, Reuters is reporting.