

# Stradley Ronon Adds Four Investment Management Partners and Opens Chicago Office

Stradley Ronon announced that it has opened an office in Chicago with the hiring of former K&L Gates partners **David P. Glatz** and **Alan P. Goldberg**, both of whom join the firm as partners in the investment management group. In addition to the Chicago-based partners, **Eric S. Purple** and **Nicole Trudeau**, both previously partners at K&L Gates, have joined Stradley Ronon's Washington, D.C., office as partners in the investment management group.

"David, Alan, Eric and Nicole's practices are a tremendous complement to our investment management group and enhance our subject matter expertise in critical areas such as exchange-traded funds and closed-end funds" said Bruce G. Leto, Chair of Stradley Ronon's Investment Management Practice. "Our new colleagues are highly regarded in the investment management industry and their addition will help perpetuate the firm's 80-year history of innovation in this area."

"We have long admired and respected **Stradley Ronon**, particularly its highly regarded investment management group and its collegial culture, and are thrilled to join such a well-respected and talented group of attorneys," said Goldberg, Partner-in-Charge of the Chicago office. "Stradley provides us with a tremendous platform to support our practices, and we know this move will be beneficial to our clients who value sophisticated legal advice from pragmatic, industry-savvy attorneys."

In a release, the firm said the Chicago office opening marks

Stradley Ronon's first location outside the mid-Atlantic region and its eighth office overall. The location is close to several large firm clients, allowing the firm to provide on-the-ground service in the Midwest in the investment management industry and other areas.

"Given the success and leadership that Bruce's team has established in the industry, it was an easy decision to open in Chicago. Our Managing Partner Jeff Lutsky and Executive Director Gillian Facher put forth an incredible effort to complete the transaction in record time," said Stradley Ronon Chairman William R. Sasso. "Our new geographic footprint deepens our existing capabilities by adding to the strength of our robust investment management group and allowing us to expand other practices such as commercial litigation, corporate and securities, financial services, insurance, intellectual property, and securities enforcement and litigation into the Midwest."

"Our expansion into Chicago is an integral part of our strategic plan to grow in regions and practice areas that complement our existing services and strengths, but more importantly, to add value to our clients," said Stradley Ronon Managing Partner Jeffrey A. Lutsky.

About Stradley Ronon's newest partners:

**David P. Glatz** concentrates his practice on closed-end funds, advising clients on issues arising under the federal securities laws, including the Securities Act of 1933, the Securities Exchange Act of 1934 and the Investment Company Act of 1940. His experience includes advising numerous closed-end funds in connection with their initial public offerings, as well as serving as part of the team that helped bring to market several new types of preferred shares to replace auction-rate preferred stock and to provide additional sources of leverage for closed-end funds. Before joining K&L Gates, Glatz was with its predecessor firm Bell, Boyd & Lloyd. He

also served as assistant general counsel for securities & governance at Sears Holdings Corporation. Glatz earned his J.D., cum laude, from Loyola University Chicago School of Law and his B.S. from DePaul University.

**Alan P. Goldberg** concentrates his practices on representing registered investment companies and their independent board members, registered investment advisers, sponsors to unregistered investment pools and family offices. He handles all aspects of creating registered investment companies and registering new investment advisers, including the establishment of compliance policies and procedures. Prior to K&L Gates, he was the administrative partner to the investment management and financial services practice of its predecessor firm, Bell, Boyd & Lloyd, and also served on the staff of the Securities and Exchange Commission in the Enforcement Division. Goldberg received his J.D. from Temple University Beasley School of Law, where he was a member of the Temple Law Review and the Moot Court Honor Society, and his B.A. from the University of Pennsylvania.

**Eric S. Purple** counsels mutual funds, exchange-traded funds, closed-end investment companies and other pooled investment vehicles, as well as investment advisers and independent fund directors, on matters arising under U.S. federal securities laws. Before joining private practice, he served on the staff of the Securities and Exchange Commission as a senior counsel in the Division of Investment Management's Office of Chief Counsel. Prior to the SEC, Purple was the compliance officer of the Rydex Funds and was in-house counsel to a financial services start-up that was acquired by a predecessor of TD Ameritrade. Purple earned his LL.M. (Securities and Financial Regulation) from Georgetown University Law Center, his J.D. from the University of Alabama School of Law, where he served on the Managing Board of the Alabama Law Review, and his B.A. from Vanderbilt University.

**Nicole Trudeau** represents open- and closed-end funds and

exchange-traded funds, other pooled investment vehicles and their independent board members, and investment advisers on matters relating to U.S. federal securities laws. Her experience includes serving as issuer's counsel for a closed-end fund's initial public offering in 2015, which raised \$555 million, and serving as ongoing fund and independent board member counsel to a leveraged ETF fund complex. Trudeau earned her J.D. from the University of Michigan Law School and her B.A. from the University of California, Berkeley.