

Securities Enforcement Partner Jim Lundy Joins Foley

Foley & Lardner LLP announced today that Jim Lundy has joined the firm's Chicago office as a partner in its Litigation Department and Securities Enforcement & Litigation Practice Group. Lundy brings over two decades of SEC enforcement experience, including 12 years at the U.S. Securities and Exchange Commission (SEC).

Lundy defends clients in enforcement investigations and litigation instituted by the SEC, Commodity Futures Trading Commission (CFTC), Financial Industry Regulatory Authority (FINRA), state attorneys general, and self-regulatory organizations. He represents public and private companies, investment management and brokerage firms, hedge and private equity funds, and futures trading firms in these high-stakes matters. Lundy's practice also includes conducting internal investigations, counseling on governance and compliance issues, handling cybersecurity regulatory investigations, defending clients in complex financial services litigation, and serving as an independent monitor.

"Jim's experience in private practice combined with his deep knowledge of the SEC enforcement process further strengthens and diversifies the firm's Securities Enforcement & Litigation Practice," said the group's chair, Beth Boland. "Jim is an excellent addition to our nationally-recognized practice and our diverse group of former SEC enforcement attorneys."

Before entering private practice, Lundy spent over a decade at the SEC's Chicago Regional Office and held various leadership roles within the Division of Enforcement and Division of Examinations. After his time at the SEC, Lundy served as associate general counsel at a futures and securities firm, handling matters involving complex futures, derivatives, and

securities enforcement investigations and litigation.

“Jim adds significant expertise to the firm’s already deep bench of securities and enforcement litigators and further expands the capabilities of our team here in Chicago,” said Frank Pasquesi, managing partner of Foley’s Chicago office. “He is a strong cultural fit for Foley and will play a key role in growing our securities enforcement platform – both in Illinois and nationally. We are pleased to welcome him to the team.”

“Foley’s Securities Enforcement & Litigation Team is one of the best in the country,” said Lundy. “I’m excited to join the team and collaborate with this exceptional group of lawyers to advise clients on their most challenging matters.”