

SEC Takes Aim at GC for Response to DOJ Investigation

The Securities and Exchange Commission has filed civil fraud charges against the general counsel of Ohio-based chemical company RPM for allegedly mishandling the response to a U.S. Department of Justice investigation, **Bloomberg Law reports**.

Edward W. Moore, RPM general counsel and chief compliance officer oversaw the company's response in 2011 when the DOJ started investigating whether its subsidiary, roofing materials company Tremco, had overcharged the government by millions of dollars on certain contracts, according to the SEC complaint.

The SEC accuses Moore of failing to disclose the investigation to RPM's shareholders, along with his CEO, CFO and internal audit committee and auditors, in a timely manner, writes **Gabe Friedman**.

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