

# Andrew Hartnett Joins Greensfelder Securities & Financial Services Industry Group

Greensfelder, Hemker & Gale, P.C., announces that attorney Andrew M. Hartnett has joined the firm as an officer with the Securities & Financial Services industry group. Based in the firm's St. Louis office, Hartnett most recently served as Commissioner of Securities for former Missouri Secretary of State Jason Kander.

"We welcome Andrew to Greensfelder and to our growing team of Securities and Financial Services attorneys," said Greensfelder Chief Executive Officer Timothy R. Thornton. "In addition to his extensive experience in data security matters, Andrew brings a regulator's perspective on important industry challenges and issues that impact our clients in Missouri and throughout the nation."

In a news release, the firm reported:

As Commissioner of Securities from 2013 to 2016, Hartnett directed the Missouri Securities Division and heard cases filed by the Enforcement Section of the Division. In this role, he spearheaded the drafting and passage of the Missouri Senior Savings Protection Act – one of the first statutes of its kind in the country created to prevent financial exploitation of senior citizens. In addition to his policy work in Missouri, Hartnett helped formulate policy nationwide through his work at the North American Securities Administrators Association (NASAA), particularly on cybersecurity, leading the team that created the cybersecurity program now used by most state regulators. He

chaired the Broker-Dealer Section and the Enforcement Section for NASAA as well as committees focused on cybersecurity, technology, and federal legislation. Hartnett also has spoken at conferences and other events nationwide on topics including state securities regulations, cybersecurity, and protection for senior investors.

“Andrew’s securities knowledge and experience with both the Missouri Securities Division and NASAA will be great assets to our firm,” said Christopher A. Pickett, an officer and leader of Greensfelder’s Securities & Financial Services industry group. “His contributions will be instrumental to helping our clients including financial institutions navigate complex regulatory and compliance issues, as well as manage critical business issues and litigation.”

Hartnett said, “I look forward to helping to grow Greensfelder’s Securities and Financial Services practice while also enhancing services to the firm’s existing clients in the sector. I believe the experience and knowledge I have gained as Missouri’s Commissioner of Securities as well as my work with regulators in other states will be beneficial to addressing clients’ regulatory and compliance issues to help them focus on their businesses.”

Prior to his time as Commissioner of Securities, Hartnett served as Chief of Staff to then-Missouri Attorney General Chris Koster and as an Assistant Attorney General in the Consumer Protection Division, handling all aspects of consumer protection, antitrust and securities investigations and litigation. He began his career in private practice in St. Louis.

Hartnett earned his law degree (cum laude) from Saint Louis University School of Law, his Master of Arts from New York University and his Bachelor of Arts (summa cum laude) from Catholic University of America.

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