Fired Banker Sues Ex-Employer Over Money, Non-Compete Clause

NEWS

A former president of Baltimore-based First Mariner's mortgage division has sued his ex-employer, seeking compensation totaling more than $1 million.

Jury Awards Highland Capital $40 Million in Suit Against Credit Suisse

NEWS

A Texas jury has awarded hedge-fund firm Highland Capital Management $40 million in a lawsuit against Credit Suisse over inflated appraisals of a dozen luxury properties.

Data Breaches Could Spoil Retailers' Holiday Season

NEWS

Many large retailers remain woefully unprepared to defend against a cyber attack, according to security experts.

SEC Says Assisted Living Execs Faked Senior Residents

NEWS

The Securities and Exchange Commission has charged two top ex-officers of a company that operates assisted living centers with listing fake occupants at several senior residences to meet the terms of a lease and misrepresenting in regulatory filings.

Is Your Finance Department Data Driven?

On-Demand

Business Finance has posted a complimentary online webinar titled “Is Your Finance Department Data Driven? Conquering the Challenge of ‘Doing More with Less’.”

Houston E-Discovery Company Raises $10 Million for

Expansion

NEWS

E-discovery systems developer CS Disco Inc. of Houston has closed a $10 million Series B fundraising round, the *Houston Business Journal* reported.

Goldman Fires Two Bankers Over Secret Fed Documents

NEWS

Goldman Sachs Group Inc. fired two of its bankers after one of them allegedly brought secret documents from the Federal Reserve Bank of New York into the firm, Bloomberg News reports.

Risk Management Controls and Compliance Systems Critical for New Equity Issuers

White Paper

With the IPO market continuing to surge, it’s vital that companies preparing for their IPOs rigorously examine their risk management, controls and compliance infrastructure for a successful offering and to support future growth.

Bank of Tokyo Ordered to Move Compliance Operation

NEWS

Bank of Tokyo Mitsubishi agreed in a settlement with financial regulators Nov. 18 to move its money laundering and sanctions compliance unit from Tokyo to New York.

Ex-Jefferies & Co. Managing Director Has Warning for Court

NEWS

Any business transaction involving simple negotiations could be subject to prosecution, former Jefferies & Co. managing director Jesse Litvak warned an appeals court inn an attempt to have his securities fraud conviction thrown out, Bloomberg Businessweek reported.

Magazine Profiles IRR

Strategies General Counsel Ed Vidal

NEWS:

Hispanic Executive magazine has profiled Eduardo Vidal, general counsel of IRR Strategies, who moved to the United States as a nine-year-old boy from Cuba.

Securities-Fraud Scheme Lands Ex-COO 30 Months in Prison

NEWS

Stephen Shea, the former chief operating officer of brokerage firm Sky Capital LLC, has been sentenced to 30 months in federal prison for his part in a $140 million securities-fraud scheme.

Legal Electronic Notice and Signature Requirements

White Paper

Robert Braun and Stanley Gibson, partners at Jeffer Mangels Butler & Mitchell LLP, have posted a white paper that they developed for Factors and Specialty Lenders to guide them in gaining a competitive edge by using electronic delivery

methods, electronic records and electronic signatures.

Five Steps To Protect High-Net-Worth Clients from High-Stakes Lawsuits

On-Demand

Financial Advisor and Ace Private Risk Services present a complimentary webinar designed to help high net worth families protect their assets from personal liability lawsuits, which can take years to litigate and result in awards and settlements well in excess of $10 million.

How Home Depot CEO Kept His Legacy From Being Hacked

Fortune has posted a detailed examination of how former Home Depot CEO Frank Blake took ownership of the company's customer data breach in the latter days of his tenure and, in the process, cemented his reputation as a straight-shooter.

Securities Litigation Monitoring Webinar

On-Demand
A webinar hosted by Financial Recovery Technologies, LLC (FRT), emphasized that institutions have a strong fiduciary duty to actively track securities litigation.

Deutsche Bank Lawyer Found Dead in New York Suicide

The Wall Street Journal is reporting that a senior Deutsche Bank lawyer, Calogero Gambino, has died of an apparent suicide in New York.

Real Estate Investment Opportunities in Mexico

On-Demand
Haynes and Boone has posted the audio of a recent webinar on investing in real estate in Mexico.

Financial Consequences of Foreclosures, Short Sales, Mortgage Loan Principal Forgiveness

On-Demand
The Praticising Law Institute offers a free on-demand webinar designed to help practitioners improve their ability to counsel homeowners in financial distress.

Fossil Fuel Divestment: A $5 Trillion Challenge

White Paper
Bloomberg New Energy Finance has posted a white paper on fossil fuel divestment, “a concept that can reflect various societal or practical considerations. Environmental concerns, moral and ethical stances, concerns about asset stranding, and portfolio diversification are all potential rationales.”