

# FisherBroyles Adds New DC Partners Richard A. Kirby and Beth-ann Roth

Richard A. Kirby and Beth-ann Roth have joined FisherBroyles, LLP as partners in the firm's Washington, DC, office. The new partners work in compliance counseling, fintech and blockchain, political law, securities & private equity, and securities litigation, among others areas.

"We are thrilled to welcome Richard and Beth-ann to our firm and to our Washington office," said Joel M. Ferdinand, FisherBroyles' Litigation Managing Partner. "Both bring tremendous experience to our groups that serve clients concerning various litigation and transaction needs involving corporate, financial, political, risk, securities and other critical matters."

Kirby said, "I am excited to join FisherBroyles in DC. I look forward to working alongside the firm's other highly experienced partners, as well as offering my clients the value that the FisherBroyles distributed law firm partnership model offers."

Roth said, "I believe my practice involving securities law, investment management, compliance and corporate governance are a great fit at FisherBroyles, which offers a unique platform for delivering a "Big Law" level of service to our clients across all practice areas and industries."

A member of the firm's Securities & Private Equity, Political Law and Litigation, Securities Litigation, and FinTech and Blockchain practice groups, Kirby litigates complex corporate, securities, bankruptcy and administrative law issues at all levels of the federal court system, including the U.S. Supreme Court. He also represents clients before the Securities and

Exchange Commission (SEC), Justice Department, and state and self-regulatory organizations, as well as in enforcement investigations and parallel private securities fraud and derivative actions. Kirby has experience representing victims of Ponzi schemes, in representing clients in Securities Investor Protection Act liquidation proceedings and in defending SEC fraud and market manipulation investigations, insider trading, and violations of SEC securities offerings rules. In addition to his SEC litigation practice, he counsels companies on managing risk in connection with transactions in cryptocurrencies, including initial coin offerings.

Most recently, Kirby was a principal at Baker & McKenzie, and previously was a partner at K&L Gates. He also served in the Office of the General Counsel of the Securities and Exchange Commission in Washington, where he briefed and argued more than 50 major securities law cases in the federal courts of appeals.

Kirby received his J.D. from Catholic University of America Columbus School of Law and his Bachelor of Science from Lehigh University.

Roth is a member of the firm's Compliance Counseling, FinTech and Blockchain, Litigation & Risk Management, Securities Litigation, and Securities & Private Equity practice groups. She has experience in securities and investment management law (1940 Acts), corporate governance, shareholder advocacy and impact investing. She has represented clients before the SEC and state securities regulators, and has provided legal, operations and compliance guidance to funds, investment advisers, broker-dealers, transfer agents, and economic development organizations, as well as to their service providers. Roth also assists alcoholic beverage importers and wholesaler/distributors with operational guidance as well as federal and state compliance issues.

Most recently, Ms. Roth was an attorney and partner at Capital

Fund Law Group. Previously, she served on the staff of the SEC, as associate general counsel of Calvert Investments, and as deputy general counsel of Finca, an international financial development organization where she oversaw legal departments in 21 countries. She was a member of the Investment Funds and Investment Companies practice groups at Dechert, LLP; Katten Muchin & Zavis; and Rosenman & Colin. In addition, Ms. Roth was an associate professor at George Washington University Law School, and a registered lobbyist on Capitol Hill.

Roth received her J.D. with highest honors from Shepard Broad College of Law at Nova Southeastern University. She also holds a Master of Business Administration and a Master of Arts, both from Columbia University, as well as a Bachelor of Arts from Douglass College of Rutgers University.